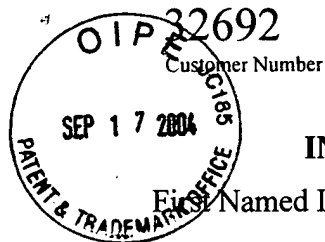


IFW



Patent  
Case No.: 58623US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: ANDERSON, MARK T.

Application No.: 10/728490      Group Art Unit: 1711  
Filed: December 5, 2003      Examiner: Unknown  
Title: PROCESS FOR PRODUCING PHOTONIC CRYSTALS

**INFORMATION DISCLOSURE STATEMENT**

*Mail Stop Amendment*  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
<b>SEP 14 2004</b>	<i>Lydia M. Cahill</i>
Date	Signed by Lydia M. Cahill

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

*September 13, 2004*  
Date

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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date

December 5, 2003

First Named Inventor

Anderson, Mark T.

Art Unit

1711

Examiner Name

Attorney Case Number

58623US002

SEP 17 2004 (Use as many sheets as necessary)

Page 1 of 3

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2801185	07/30/1957	R.K. Iler	
	A2	US- 3018262	01/23/1962	Schroeder	
	A3	US- 3117099	01/07/1964	Proops et al.	
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	A5	US- 3741769	06/26/1973	Smith	
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	A28	US- 5770737	06/23/1998	Reinhardt et al.	

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Information Disclosure Statement)

<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 2 of 3	<b>Application Number</b>	10/728490
	<b>Filing Date</b>	December 5, 2003
	<b>First Named Inventor</b>	Anderson, Mark T.
	<b>Art Unit</b>	1711
	<b>Examiner Name</b>	
	<b>Attorney Case Number</b>	58623US002

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		Doc. Number-(Kind Code if Known)			
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		Ctry. Code	Number-KindCode (If known)				
	B1	PCT	WO 98/21521	05/22/1998			
	B2	PCT	WO 99/53242	10/21/1999			
	B3	PCT	WO 01/96409	12/20/2001			
	B4	EP	1 052 312	11/15/2000			

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	R. D Allen et al. in Proc. SPIE <u>2438</u> , 474 (1995)
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Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C12	D. F. Eaton in <u>Advances in Photochemistry</u> , edited by B. Voman et al., Volume 13, pp. 427-488, John Wiley and Sons, New York (1986)
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